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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/848,051	
			Filing Date	May 3, 2001	
			First Named Inventor	Barton et al.	
			Group Art Unit		
			Examiner Name		
Sheet	1	of	1	Attorney Docket Number	60709-00012

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
0	AA	5,233,513		Doyle	Aug. 3, 1993	
0	AB	5,813,009		Johnson et al.	Sep. 22, 1998	
0	AC	5,819,263		Bromley et al.	Oct. 6, 1998	
0	AD	5,980,429		Nashner	Nov. 9, 1999	
0	AE	5,987,474		Sandifer	Nov. 16, 1999	
0	AF	6,163,732		Petke et al.	Dec. 19, 2000	
0	AG	6,209,004		Taylor	Mar. 27, 2001	
0	AH	6,256,773		Bowman-Amuah	Jul. 3, 2001	
	AI					
	AJ					
	AK					
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	AO					
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	AQ					
	AR					
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
	FA							
	FB							
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	FE							
	FF							
	FG							

Examiner Signature		Date Considered:	7-30-05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/848,051
				Filing Date	05/03/2001
				First Named Inventor	Nancy E. Barton
				Group Art Unit	2152
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	60709-00012

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
C	AA	6,148,297		Swor et al.	11/14/2000	
C	AB	5,939,974		Heagle et al.	08/17/1999	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code* (if known)				
C	FA		WO98/11477		Fetherston, Denis	03/19/1998		

## OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
C	BA	International Search Report, for Application No. PCT/US01/14278, filed 03 May 2001, for General Electric Capital Corporation.	
C	BB	MALTBY, JOSEPHINE: "Environmental audit: Theory and practices." Managerial Auditing Journal, v10n8 pp: 15-26.	
C	BC	MACHARG, MARCIA: "Making the case for legal compliance audits." International Financial Law Review, Apr 1997, v16n4, pp. 9, 13+.	
C	BD	CLAYCAMP, H. GREGG, Industrial Health Risk Assessment: "Industrial hygiene for technology transition." American Industrial Hygiene Association Journal, May 1996, v57n5, pp. 423-435.	
C	BE	PANNKUK, LOIS; BAUMAN, DODIE. Avoiding the perils of noncompliance. ABA Bank Compliance, May/June 2001, v22n5, pp. 40-52.	

Examiner Signature		Date Considered:	10/1/01
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